

Translation

## PATENT COOPERATION TREATY

# PCT

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>PCT/12668 h/df</b>	FOR FURTHER ACTION	See Form PCT/IPEA/416
International application No. <b>PCT/DE2004/000252</b>	International filing date (day/month/year) <b>12.02.2004</b>	Priority date (day/month/year) <b>18.02.2003</b>
International Patent Classification (IPC) or national classification and IPC <b>B29C 65/18</b>		
Applicant <b>UHLMANN VERPACKUNGSSYSTEME GMBH + CO. KG</b>		

<p>1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>6</u> sheets, including this cover sheet.</p> <p>3. This report is also accompanied by ANNEXES, comprising:</p>	<p>a. <input checked="" type="checkbox"/> (<i>sent to the applicant and to the International Bureau</i>) a total of <u>1</u> sheets, as follows:</p>	<p><input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).</p> <p><input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.</p>	<p>b. <input type="checkbox"/> (<i>sent to the International Bureau only</i>) a total of (indicate type and number of electronic carrier(s)) _____, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).</p>																														
<p>4. This report contains indications relating to the following items:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 15px;"><input checked="" type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input checked="" type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input checked="" type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input checked="" type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> <td style="width: 15px;"><input checked="" type="checkbox"/></td> <td style="width: 15px;"><input type="checkbox"/></td> </tr> <tr> <td>Box No. I</td> <td>Basis of the report</td> <td>Box No. II</td> <td>Priority</td> <td>Box No. III</td> <td>Non-establishment of opinion with regard to novelty, inventive step and industrial applicability</td> <td>Box No. IV</td> <td>Lack of unity of invention</td> <td>Box No. V</td> <td>Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</td> <td>Box No. VI</td> <td>Certain documents cited</td> <td>Box No. VII</td> <td>Certain defects in the international application</td> <td>Box No. VIII</td> <td>Certain observations on the international application</td> </tr> </table>				<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Box No. I	Basis of the report	Box No. II	Priority	Box No. III	Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	Box No. IV	Lack of unity of invention	Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	Box No. VI	Certain documents cited	Box No. VII	Certain defects in the international application	Box No. VIII	Certain observations on the international application
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Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

PCT/DE2004/000252

## Box No. I

## Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.
- This report is based on translations from the original language into the following language \_\_\_\_\_, which is the language of a translation furnished for the purposes of:
- international search (Rule 12.3 and 23.1(b))
  - publication of the international application (Rule 12.4)
  - international preliminary examination (Rule 55.2 and/or 55.3)
2. With regard to the elements of the international application, this report is based on (*replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report*):
- the international application as originally filed/furnished
- the description:  
pages 1-7 as originally filed/furnished  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- the claims:  
nos. 2-15 as originally filed/furnished  
nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19  
nos.\* 1 received by this Authority on 17.07.2004 with letter  
of 16.07.2004  
nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- the drawings:  
sheets 1/2-2/2 as originally filed/furnished  
sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_
- a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.
3.  The amendments have resulted in the cancellation of:
- the description, pages \_\_\_\_\_
  - the claims, nos. \_\_\_\_\_
  - the drawings, sheets/figs \_\_\_\_\_
  - the sequence listing (*specify*): \_\_\_\_\_
  - any table(s) related to sequence listing (*specify*): \_\_\_\_\_
4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).
- the description, pages \_\_\_\_\_
  - the claims, nos. \_\_\_\_\_
  - the drawings, sheets/figs \_\_\_\_\_
  - the sequence listing (*specify*): \_\_\_\_\_
  - any table(s) related to sequence listing (*specify*): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

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## Box No. V

**Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

## 1. Statement

Novelty (N)	Claims	1-15	YES
	Claims		NO
Inventive step (IS)	Claims	1-15	YES
	Claims		NO
Industrial applicability (IA)	Claims	1-15	YES
	Claims		NO

## 2. Citations and explanations (Rule 70.7)

Reference is made to the following documents:

D1: US 4 353 855 A (GARABEDIAN ARMEN)  
12 October 1982 (1982-10-12).

Document D1 is considered to be the prior art closest to the subject matter of claim 1. Said document discloses a pressure die for sealing foils, the die having a heatable die element with a die surface for the application of a pressure to a first foil (85) in order to attach said foil to a supported second foil, the die element being composed of multiple layers comprising, in particular, three layers.

Thus, the subject matter of claim 1 differs from the known device according to D1 in that a heated central layer of a first material with a high degree of thermal conductivity is enclosed by two peripheral layers of a second material, each of said peripheral layers being of identical strength and the end face of one of the peripheral layers forming the die surface.

The subject matter of claim 1 is therefore novel (PCT Article 33(2)).

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.

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Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
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The problem addressed by the present invention can consequently be regarded as that of creating a pressure die surface which is homogenous and can be heated to the desired temperature, whilst at the same time being hard.

The solution to the above problem, as proposed in claim 1 of the present application, involves an inventive step (PCT Article 33(3)). The reasons are as follows:

none of the cited documents discloses or renders obvious the distinguishing features of claim 1. In the light of the applicant's arguments, the inner layer according to D1, said layer consisting of water, is not the equivalent of the heated central layer according to the wording of claim 1.

Claims 2-15 are dependent on claim 1 and thus, likewise, satisfy the requirements of the PCT in respect of novelty and inventive step.

**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**

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**Box No. VII      Certain defects in the international application**

The following defects in the form or contents of the international application have been noted:

Contrary to PCT Rule 5.1(a)(ii), the description does not cite document D1 or indicate the relevant prior art disclosed therein.

The description is not consistent with the claims (PCT Rule 5.1(a)(iii)).

**INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY**

International application No.

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**Box No. VIII      Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

The features of the claims are not followed by reference signs placed between parentheses (PCT Rule 6.2(b)).